#### A Shift-Reduce Parsing Algorithm for Phrase-based String-to-Dependency Translation

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#### SMT: Phrase Vs. Syntax

- Modern SMT approaches can be roughly divided into two broad categories:
  - phrase-based [Koehn et al., 2003; Och and Ney, 2004]
  - syntax-based
    - string-to-string [Wu, 1997; Chiang, 2007]
    - string-to-tree [Galley et al., 2006; Shen et al., 2008]
    - tree-to-string [Liu et al., 2006; Huang et al., 2006]
    - tree-to-tree [Eisner et al., 2003; Quirk et al., 2005]

#### SMT: Phrase Vs. Syntax

#### • Phrase-based

- pros: efficient to integrate *n*-gram LM
- cons: reordering is hard
- Syntax-based
  - pros: linguistically-motivated reordering
  - cons: expensive to integrate *n*-gram LM

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Is it possible to combine the advantages of both?

#### **Related Work**

- Adding syntax to phrase-based decoding
  - hierarchical phrase reordering [Galley and Manning, 2008]
  - quadratic-time dependency parsing [Galley and Manning, 2009]
  - shift-reduce parsing for phrase-based models [Feng et al., 2010]
- incremental decoding for syntax-based models
  - left-to-right generation for SCFG [Watanabe et al., 2006]
  - incremental decoding for tree-to-string translation [Huang and Mi, 2010]
  - context-free reordering, finite-state translation [Dyer and Resnik, 2010]
  - incremental decoding with prediction [Feng et al., 2012]

#### This Work

• This work tries to combine the advantages of phrase-based and string-to-dependency models.

	<b>phrase</b> (Koehn et al., 2003)	<b>s2d</b> (Shen et al., 2008)	this work
rule table size	compact	large	compact
rule table coverage	high	low	high
n-gram LM	efficient	expensive	efficient
dep LM	N/A	yes	yes







	source phrase	target phrase	dependency	category
$r_1$	fangwen	visit	{}	fixed



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	source phrase	target phrase	dependency	category
$r_1$	fangwen	visit	{}	fixed
$r_2$	yu siyue	in April	$\{1 \rightarrow 2\}$	fixed



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$r_3$	zongtong jiang	The President will	$\{2 \rightarrow 1\}$	floating left



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$r_3$	zongtong jiang	The President will	$\{2 \rightarrow 1\}$	floating left
$r_4$	yu siyue lai lundun	London in April	$\{2 \rightarrow 3\}$	floating right



	source phrase	target phrase	dependency	category
$r_1$	fangwen	visit	{}	fixed
$r_2$	yu siyue	in April	$\{1 \rightarrow 2\}$	fixed
$r_3$	zongtong jiang	The President will	$\{2 \rightarrow 1\}$	floating left
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	source phrase	target phrase	dependency	category
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$r_2$	yu siyue	in April	$\{1 \rightarrow 2\}$	fixed
$r_3$	zongtong jiang	The President will	$\{2 \rightarrow 1\}$	floating left
$r_4$	yu siyue lai lundun	London in April	$\{2 \rightarrow 3\}$	floating right
$r_5$	zongtong jiang	President will	{}	ill-formed

(Shen et al., 2008) 10



	source phrase	target phrase	dependency	category
$r_1$	fangwen	visit	{}	fixed
$r_2$	yu siyue	in April	$\{1 \rightarrow 2\}$	fixed
$r_3$	zongtong jiang	The President will	$\{2 \rightarrow 1\}$	floating left
$r_4$	yu siyue lai lundun	London in April	$\{2 \rightarrow 3\}$	floating right
$r_5$	zongtong jiang	President will	{}	ill-formed

- A state (i.e., parser configuration) consists of
  - a stack of items
  - coverage vector
- Each item is a well-formed structure
- Three actions (Huang et al., 2009)
  - shift: move a target dependency structure onto the stack
  - reduce left: combine the two items on the stack with the root of the the first item as the head
  - reduce right: combine the two items on the stack with the root of the second item as the head

step	action	rule	stack	coverage
0				000000

step	action	rule	stack	coverage
0				000000
1	S	$r_3$	[The President will]	•••••

step	action	rule	stack	coverage
0				000000
1	S	$r_3$	[The President will]	••00000
2	S	$r_1$	[The President will] [visit]	•••••

step	action	rule	stack	coverage
0				000000
1	S	$r_3$	[The President will]	••00000
2	S	$r_1$	[The President will] [visit]	•••••
3	$R_l$		[The President will visit]	•••••

step	action	rule	stack	coverage
0				000000
1	S	$r_3$	[The President will]	••00000
2	S	$r_1$	[The President will] [visit]	••0000
3	$R_l$		[The President will visit]	•••••
4	S	$r_4$	[The President will visit] [London in April]	•••••

step	action	rule	stack	coverage
0				000000
1	S	$r_3$	[The President will]	••00000
2	S	$r_1$	[The President will] [visit]	•••••
3	$R_l$		[The President will visit]	•••••
4	S	$r_4$	[The President will visit] [London in April]	•••••
5	$R_r$		[The President will visit London in April]	•••••

# Ambiguity



#### Shift-Reduce Conflicts



- The shift-reduce parser faces four types of conflicts
  - shift vs. shift
  - shift vs. reduce left
  - shift vs. reduce right
  - reduce left vs. reduce right

#### Shift-Reduce Conflicts



#### all the three actions are applicable!

- The shift-reduce parser faces four types of conflicts
  - shift vs. shift
  - shift vs. reduce left
  - shift vs. reduce right
  - reduce left vs. reduce right

$s_{t-1}$	$s_t$	legal	action(s)
		yes	S
	h	yes	$\mid S \mid$
	1	yes	S
	r	no	
h	h	yes	$\mid S, R_l, R_r \mid$
h	1	yes	$\mid S \mid$
h	r	yes	$ R_r $
1	h	yes	$R_l$
1	1	yes	S
1	r	no	
r	h	no	
r	1	no	
r	r	no	

$s_{t-1}$	$s_t$	legal	action(s)
		yes	S
	h	yes	$\mid S \mid$
	1	yes	S
	r	no	
h	h	yes	$S, R_l, R_r$
h	1	yes	S
h	r	yes	$R_r$
1	h	yes	$R_l$
1	1	yes	S
1	r	no	
r	h	no	
r	1	no	
r	r	no	

[The President will]

$s_{t-1}$	$s_t$	legal	action(s)	The President will
		yes	S	
	h	yes	S	
	1	yes	S	
	r	no		
h	h	yes	$S, R_l, R_r$	
h	1	yes	S	
h	r	yes	$R_r$	
1	h	yes	$R_l$	
1	1	yes	S	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

$s_{t-1}$	$s_t$	legal	action(s)	[The President will]
		yes	S	[ ]
	h	yes	S	
	1	yes	S	
	r	no		
h	h	yes	$S, R_l, R_r$	
h	1	yes	S	
h	r	yes	$R_r$	
1	h	yes	$R_l$	
1	1	yes	S	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

$s_{t-1}$	$s_t$	legal	action(s)	The President will]
		yes	S	
	h	yes	S	
	1	yes	S	
	r	no		
h	h	yes	$S, R_l, R_r$	
h	1	yes	S	
h	r	yes	$R_r$	
1	h	yes	$R_l$	
1	1	yes	$\boldsymbol{S}$	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

$s_{t-1}$	$s_t$	legal	action(s)	The President will
		yes	S	
	h	yes	S	
	1	yes	S	
	r	no		
h	h	yes	$S, R_l, R_r$	
h	1	yes	S	
h	r	yes	$R_r$	
1	h	yes	$R_l$	
1	1	yes	S	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

$s_{t-1}$	$s_t$	legal	action(s)	[The President will]
		yes	S	
	h	yes	S	
	1	yes	S	[The President will]
	r	no		
h	h	yes	$S, R_l, R_r$	
h	1	yes	$\mid S$	
h	r	yes	$R_r$	
1	h	yes	$R_l$	
1	1	yes	S	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

S

$s_{t-1}$	$s_t$	legal	action(s)	[The President will]
		yes	S	
	h	yes	S	
	1	yes	S	[The President will] [visit]
	r	no		
h	h	yes	$S, R_l, R_r$	•
h	1	yes	$\mid S$	
h	r	yes	$R_r$	
1	h	yes	$R_l$	
1	1	yes	S	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

S

$s_{t-1}$	$s_t$	legal	action(s)	[The President will]
		yes	S	
	h	yes	S	
	1	yes	S	[The President will] [visit]
	r	no		l h
h	h	yes	$S, R_l, R_r$	
h	1	yes	$\mid S$	
h	r	yes	$R_r$	
1	h	yes	$R_l$	
1	1	yes	S	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

S

$s_{t-1}$	$s_t$	legal	action(s)	The President will
		yes	S	
	h	yes	$\mid S$	
	1	yes	$\mid S$	[The President will]
	r	no		
h	h	yes	$S, R_l, R_r$	•
h	1	yes	$\mid S$	
h	r	yes	$R_r$	
1	h	yes	$R_l$	
1	1	yes	S	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

S

[visit]

h

$s_{t-1}$	$s_t$	legal	action(s)	[The President will]
		yes	S	
	h	yes	S	
	1	yes	S	[The President will] [visit]
	r	no		l h
h	h	yes	$S, R_l, R_r$	
h	1	yes	S	
h	r	yes	$R_r$	
1	h	yes	$R_l$	
1	1	yes	S	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

S

 $R_l$ 

$s_{t-1}$	$s_t$	legal	action(s)	] [The President will]
		yes	S	
	h	yes	$\mid S$	
	1	yes	$\mid S$	[The President will] [visit]
	r	no		l h
h	h	yes	$S, R_l, R_r$	
h	1	yes	$\mid S$	
h	r	yes	$ R_r $	
1	h	yes	$R_l$	
1	1	yes	$\mid S$	
1	r	no		
r	h	no		
r	1	no		
r	r	no		

S

 $R_l$ 

$s_{t-1}$	$s_t$	legal	action(s)	] The President will]	S
		yes	S		D
	h	yes	$\mid S$		
	1	yes	$\mid S$	[The President will] [visit]	$R_l$
	r	no		l h	
h	h	yes	$S, R_l, R_r$		
h	1	yes	$\mid S$	[The President will visit] [London in April]	
h	r	yes	$  R_r$		
1	h	yes	$ R_l $		
1	1	yes	$\mid S$		
1	r	no			
r	h	no			
r	1	no			
r	r	no			

$s_{t-1}$	$s_t$	legal	action(s)	] [The President will]	S
		yes	S		D
	h	yes	$\mid S$		
	1	yes	$\mid S$	[The President will] [visit]	$R_l$
	r	no		l h	
h	h	yes	$S, R_l, R_r$		
h	1	yes	$\mid S$	[The President will visit] [London in April]	
h	r	yes	$  R_r$		
1	h	yes	$R_l$	h h	
1	1	yes	$\mid S$		
1	r	no			
r	h	no			
r	1	no			
r	r	no			

$s_{t-1}$	$s_t$	legal	action(s)	The President will	S
		yes	S		D
	h	yes	$\mid S$		
	1	yes	$\mid S$	[The President will] [visit]	$R_l$
	r	no		l h	
h	h	yes	$S, R_l, R_r$		
h	1	yes	$\mid S$	[The President will visit] [London in April]	
h	r	yes	$  R_r$		
1	h	yes	$ R_l $	h r	
1	1	yes	$\mid S$		
1	r	no			
r	h	no			
r	1	no			
r	r	no			

$s_{t-1}$	$s_t$	legal	action(s)	] [The President will]	S
		yes	S		D
	h	yes	$\mid S$		
	1	yes	$\mid S$	[The President will] [visit]	$R_l$
	r	no		l h	
h	h	yes	$S, R_l, R_r$		
h	1	yes	$\mid S$	[The President will visit] [London in April]	
h	r	yes	$R_r$		
1	h	yes	$R_l$	h r	
1	1	yes	$\mid S$		
1	r	no			
r	h	no			
r	1	no			
r	r	no			

$s_{t-1}$	$s_t$	legal	action(s)	] [The President will]	S
		yes	S		D
	h	yes	$\mid S$		
	1	yes	$\mid S$	[The President will] [visit]	$R_l$
	r	no		l h	
h	h	yes	$S, R_l, R_r$		
h	1	yes	$\mid S$	[The President will visit] [London in April]	$R_{\pi}$
h	r	yes	$R_r$		107
1	h	yes	$R_l$	h r	
1	1	yes	S		
1	r	no			
r	h	no			
r	1	no			
r	r	no			

$s_{t-1}$	$s_t$	legal	action(s)	] [The President will]	S
		yes	S		D
	h	yes	$\mid S$		
	1	yes	$\mid S$	[The President will] [visit]	$R_l$
	r	no		l h	
h	h	yes	$S, R_l, R_r$		
h	1	yes	$\mid S$	[The President will visit] [London in April]	$R_{-}$
h	r	yes	$  R_r$		10r
1	h	yes	$ R_l $	h r	
1	1	yes	$\mid S$		
1	r	no			
r	h	no			
r	1	no			
r	r	no			

$s_{t-1}$	$s_t$	legal	action(s)	] The President will]	S
		yes	S		D
	h	yes	$\mid S$		
	1	yes	$\mid S$	[The President will] [visit]	$R_l$
	r	no		l h	
h	h	yes	$S, R_l, R_r$		
h	1	yes	$\mid S$	[The President will visit] [London in April]	<i>R</i>
h	r	yes	$  R_r$		$\mu_r$
1	h	yes	$R_l$	h r	
1	1	yes	$\mid S$		
1	r	no		[The President] [will visit]	
r	h	no			
r	1	no			
r	r	no			

$s_{t-1}$	$s_t$	legal	action(s)	] The President will]	S
		yes	S		)
	h	yes	S		
	1	yes	$\mid S$	$\begin{bmatrix} The President will & V \end{bmatrix} $	$R_l$
	r	no		l h	
h	h	yes	$S, R_l, R_r$		
h	1	yes	S	[The President will visit] [London in April] <i>B</i>	<b>R</b> _
h	r	yes	$R_r$		$v_T$
1	h	yes	$R_l$	h r	
1	1	yes	S		
1	r	no		[The President] [will visit]	
r	h	no			
r	1	no			
r	r	no			

$s_{t-1}$	$s_t$	legal	action(s)	] [The President will] S
		yes	S	
	h	yes	$\mid S$	
	1	yes	$\mid S$	[The President will][visit] $R_l$
	r	no		l h
h	h	yes	$S, R_l, R_r$	
h	1	yes	$\mid S$	$\begin{bmatrix} & & & \\ & & & & \\ & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & $
h	r	yes	$R_r$	
1	h	yes	$R_l$	h r
1	1	yes	$\mid S$	
1	r	no		[The President] [will visit]
r	h	no		b b
r	1	no		
r	r	no		

$s_{t-1}$	$s_t$	legal	action(s)	$\int \mathbf{r} = \mathbf{r} $
		yes	S	
	h	yes	$\mid S$	
	1	yes	$\mid S$	[The President will] [visit] $R_l$
	r	no		l h
h	h	yes	$S, R_l, R_r$	
h	1	yes	S	$\begin{bmatrix} & & & \\ & & & & \\ & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ $
h	r	yes	$  R_r$	
1	h	yes	$R_l$	h r
1	1	yes	$\mid S$	
1	r	no		[The President] [will visit]
r	h	no		
r	1	no		
r	r	no		

$s_{t-1}$	$s_t$	legal	action(s)	] [The President will] <b>S</b>
		yes	S	
	h	yes	$\mid S$	
	1	yes	$\mid S$	[The President will] [visit] $R_l$
	r	no		l h
h	h	yes	$S, R_l, R_r$	
h	1	yes	$\mid S$	$\begin{bmatrix} & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & $
h	r	yes	$R_r$	
1	h	yes	$R_l$	h r
1	1	yes	$\mid S$	
1	r	no		[The President] [will visit] $S R_l R_r$
r	h	no		
r	1	no		
r	r	no		

$s_{t-1}$	$s_t$	legal	action(s)	$\int \mathbf{r} = \mathbf{r} $
		yes	S	
	h	yes	$\mid S$	
	1	yes	$\mid S$	[The President will] [visit] $R_l$
	r	no		l h
h	h	yes	$S, R_l, R_r$	
h	1	yes	$\mid S$	$\begin{bmatrix} & & & \\ & & & & \\ & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ $
h	r	yes	$ R_r $	$\begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 &$
1	h	yes	$R_l$	h r
1	1	yes	$\mid S$	
1	r	no		[The President] [will visit] $S R_l R_r$
r	h	no		b b
r	1	no		
r	r	no		only "h+h" is ambiguous!

#### The MaxEnt Classifier

• We use a maximum entropy classifier to resolve conflicts for the "h+h" case



DT NNP MD VB NNP IN IN

type		feature templates	
Unigram	<i>c</i>	$W_h(s_t)$	$W_h(s_{t-1})$
	$W_{lc}(s_t)$	$W_{rc}(s_{t-1})$	$T_h(s_t)$
	$T_h(s_{t-1})$	$T_{lc}(s_t)$	$T_{rc}(s_{t-1})$
Bigram	$W_h(s_t) \circ W_h(s_{t-1})$	$T_h(S_t) \circ T_h(s_{t-1})$	$W_h(s_t) \circ T_h(s_t)$
	$W_h(s_{t-1}) \circ T_h(s_{t-1})$	$W_h(s_t) \circ W_{rc}(s_{t-1})$	$W_h(s_{t-1}) \circ W_{lc}(s_t)$
Trigram	$c \circ W_h(s_t) \circ W(s_{t-1})$	$c \circ T_h(s_t) \circ T_h(s_{t-1})$	$W_h(s_t) \circ W_h(s_{t-1}) \circ T_{lc}(s_t)$
	$W_h(s_t) \circ W_h(s_{t-1}) \circ T_{rc}(s_{t-1})$	$T_h(s_t) \circ T_h(s_{t-1}) \circ T_{lc}(s_t)$	$T_h(s_t) \circ T_h(s_{t-1}) \circ T_{rc}(s_{t-1})$

## Training Examples for the MaxEnt Classifier

• We build a derivation graph to compactly represent all derivations



## **Beam Search Shift-Reduce Parsing**

- We divide features into two categories
  - standard: rule probs, n-gram LM, reordering, etc.
  - *dependency*: depLM, ill-formed penalty, MaxEnt
- Beam search shift-reduce parsing (Zhang and Clark, 2008)
- Hypergraph reranking (Huang, 2008)
  - Ist pass: produce a hypergraph with std features
  - 2nd pass: hypergraph reranking with dep features

#### Experiments

- Baseline systems
  - Moses (Koehn et al., 2007)
  - string-to-dependency (Shen et al., 2008)
- Datasets
  - training: 2.9M Chinese-English sentence pairs
  - development: NIST 2002
  - test: NIST 2003, 2004, 2005
- Evaluation Metric: uncased BLEU and TER

#### BLEU



#### TER



### depLM vs. MaxEnt

features	BLEU	TER
standard	34.79	56.93
+depLM	35.29	56.17
+MaxEnt	35.40	56.09
+depLM & MaxEnt	35.7I	55.87

### **BLEU** with Varying Dist. Limits



BLEU

## Expressiveness and Complexity

	Shen et al. (2008)	this work
find head LA will dependent	left adjoining	reduce left
find RA it	right adjoining	reduce right
boy $_{\rm LC}^{\rm max}$ will the	left concatenation	N/A
it $\leq \frac{1}{RC}$ interesting	right concatenation	N/A

## Conclusion

- We have presented a shift-reduce decoding algorithm for string-to-dependency translation
  - only uses phrases
  - resolves conflicts using a maxent classifier
- Future work
  - introducing more actions
  - comparison with using undirected floating structures

## Thanks

We are grateful to Collin Cherry for offering constructive comments and suggestions.